



MINISTRY OF HUMAN RESOURCE DEVELOPMENT
DEPARTMENT OF HIGHER EDUCATION



AGENDA
4th MEETING OF THE PROJECT APPROVAL BOARD

10th December, 2014
New Delhi

COMPOSITION OF PROJECT APPROVAL BOARD, RUSA

1. Secretary, Higher Education, MHRD - Chairperson
2. Chairperson, UGC - Co-Chairman
3. Chairperson, AICTE - Member
4. Secretary, UGC - Member
5. Chairperson, State Higher Education Council
(of the concerned State whose plans are to be considered) - Member
6. Secretary, Higher Education of the State concerned - Member
7. Secretary, Technical Education of the State concerned - Member
8. Prof. Shailendra Mehta, Visiting Professor, IIM- Ahmedabad - Member
9. Prof. B Venkatesh Kumar, Professor, TISS - Member
10. Financial Advisor in MHRD - Member
11. Advisor (Higher Education), Planning Commission - Member
12. Joint Secretary (HE) & National Mission Director - Member – Convener

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AGENDA

ITEM -1

Presentation by TISS & UKIERI on Capacity Building and Leadership Development

In the 4th meeting of PAB, it has been decided by the board that TISS may make a presentation to the Mission Authority and PAB on the implementation of the programme which includes the scope, financial implication etc., and also its collaboration with UKIERI.

ITEM -2

Appraisal of State Higher Education Plans (SHEPs)

Till date 21 State have submitted their States Higher Education Plans (SHEPs). Out these, 10 SHEPs namely Gujarat, Haryana, Himachal Pradesh, Jammu & Kashmir, Odisha, Punjab, Manipur, Nagaland, Uttarakhand and Uttar Pradesh have been appraised by the Technical Support Group (TSG) and placed before the Project Approval Board (PAB) in its 3rd & 4th meetings held on 13th May, 2014 and 5th December, 2014 respectively.

The SHEPs of **Arunachal Pradesh, Assam, Chhattisgarh, Mizoram, Tripura and West Bengal** were appraised along with their DCFs. The observations and recommendations of the TSG have been submitted in an Appraisal Note for each of the SHEP which are placed at **Annexure I, II, III, IV, V & VI**.

The Other SHEPs of Andhra Pradesh, Bihar, Madhya Pradesh and Tamil Nadu are being appraised by the TSG and will be placed before the PAB as and when finalised.

ITEM -3

Model Degree Colleges

Before the scheme of establishing Model Degree College (MDC) in 374 Educationally Backward Districts (EBDs) was subsumed under RUSA, the University Grants Commission (UGC) appraised and approved any proposals received under the scheme.

Accordingly the first instalment of payment under the norms of the old Model Degree College scheme had been released to the 64 proposals and the second and final instalment had been approved for 31 of the above proposals in the States of Assam, Maharashtra, Punjab and Karnataka by the PAB in its 1st meeting held on the 6th of November 2013 on the condition of submission of Utilization Certificates (UC). Accordingly funds were released to Assam and Punjab after receipt of proper UCs.

As per recent information received from the States, Arunachal Pradesh and Jammu & Kashmir have also utilized the first instalment released to them under the erstwhile scheme. Accordingly the 2nd Instalment under the norms of the old may be approved to them amounting to a total of Rs. 28.00 crore as shown in **Annexure VII** .

Approval sought:

The Project Approval Board may consider for approval the release of 2nd Installment for 14 Model Degree Colleges in the states of Arunachal Pradesh and Jammu & Kashmir amounting to a total of Rs. 28.00 crores.

ITEM 4

Shifting of location of approved MDC

The State of **Himachal Pradesh** was sanctioned establishment of two model Degree Colleges (MDCs) at Chhatrari, District Chamba and Sarahan, District Sirmour by the Project Approval Board in its 3rd Meeting held on 13th May, 2014.

The State has now requested for change of location of New MDC from Chhatrari to Lylh Kothi in Chamba district. The proposal cites insufficient land availability of 8 bighas (~1.6 acres) at Chhatrari area which is covered with grown up trees and is unsuitable; whereas 38.02 bighas (~7.604 acres) of government land connected with road is available at Lylh Kothi (also confirmed by Revenue Authorities) which is the centrally located place to about 40,000 population of 21 Panchayats of non-tribal area of

Chamba District. The existing Government College, Bharmaour is convenient and accessible to the population of Chhatrari and its surrounding areas.

Since the request is for is for change of location within the same EBD, the proposal can be agreed to and the state may be given permission for the same and be asked to send the DPR accordingly.

ITEM 5

Any other item with the permission of the Chair

PAB Note of Arunachal Pradesh State Higher Education Plan

1. Status of Prerequisites:

Prerequisites

Sl. No.	Prerequisite	Key Questionnaire	State's response (YES/NO)/ Commitment as per a set timeline
1	State Higher Education Council	Does the State agree to Create the State Higher Education Council according to the suggestion made under RUSA	YES (The State Govt. has constituted State Higher Education Council (SHEC) of Arunachal Pradesh duly recommended by the Five Member Selection Committee vide Order No. ED/HE/PLG-44/2013 dated 11th July, 2014).
2	State Perspective Plan	Does the state agree to create and submit the State Higher Education Plan according to prescribed guidelines	YES (The state perspective Plan has been prepared by a core group of Professors and have been duly evaluated & ascended by the SHECAP. The State Perspective Plan at a Glance has been sent to Rusa Mission Authority vide letter no. ED/HE/RUSA-01/2014 dated 10th October, 2014).
3	Financial Contribution to Higher Education as a % of GSDP	Does the state agree to scale up to and maintain prescribed levels of funding to higher education as a % of State Gross Domestic Product (GSD)	YES (Keeping in view motto of access, equity and excellence in Higher Education, the State Government is giving much emphasis on the growth and development of Higher & Technical education in the State. Every year around 3% of State's GSDP is spending on Higher & Technical education).
4	Adherence to timelines for fund release	Does the State agree to share the project cost of the Government funded and aided institutions with MHRD in the applicable ration (10:90, 35:65)	YES (The state share for 1st installment of preparatory grant is under pipeline and likely to be released soon.)
5	Agreement to create separate fund for RUSA	Does the state agree to create separate fund for RUSA	YES (The state has agreed to create separate fund for RUSA)
6	Filling Faculty Vacancies	Does the state agree to fill up vacant faculty positions	YES (To minimize the TSR to some extent, The state Govt. has recruited 110 posts of Assistant Professors during 2013-14 and recruited 40 Assistant Professors/Lecturers during 2014-15)

2. Work to be done by States:
 - a. Preparatory funds – The State has been provided with preparatory grants amounting to Rs. 2.7 crore to be utilized for planning, making HEP, surveys, workshops and setting up resource centre. The State has also been released MMER funds amounting to Rs.2.7 lakhs to enable it undertake activities like management development and monitoring specific to RUSA.

The State is required to provide details regarding heads of utilization for the above.

- b. State share – The state share along with central share has NOT been transferred to the SHEC.

Summary of SHEP/DCF submitted:

- I. Component 1: Creation of Universities by way of upgradation has not been proposed.
- II. Component 2: Creation of Universities by conversion of colleges in a cluster has not been proposed.
- III. Component 3: Infrastructure Grants to University has not been proposed.
- IV. Component 4: The State has proposed 05 new model Degree Colleges. 4 districts are Educationally Backward Districts while remaining 1 is a non EBD. All districts have high percentage of SC and ST population. The total proposed amount for the 12th Plan Period is Rs. 60 crore, but however, the fund sought for 2014-15 is Rs. 12 crore. However, the proposal does not get prioritised for funding within the resource envelope of the State for 2014-15 (Rs 24.97 crore).
- V. Component 5: Up gradation to MDC has been proposed for 5 colleges in 05 districts of the State. The total funds claimed is Rs. 20.00 crore in XII plan and Rs. 8 crore in 2014-15. However, three out of five proposals are in EBD districts and hence as per RUSA guidelines cannot be considered for funding. The remaining two districts, namely, Papum Pare and East Siang are educationally backward and may be considered in this proposal. Hence, the TSG recommends Rs 4 crores may be allocated to the State for 2014-15.
- VI. Component 6: New Colleges (Professional) has been proposed by the State of Arunachal Pradesh. The State has proposed setting up of two professional college in the Papum Pare and East Siang district. The total proposed amount for the 12th Plan Period is Rs. 52 crore and the fund sought for 2014-15 is

Rs.26 crore. Since the Arunachal Pradesh is one of the few states which has no engineering college, the TSG recommends Rs 13 crores may be allocated to the State for 2014-15 for setting up of one professional college in Papum Pare.

- VII. Component 7: Infrastructure Grants to Colleges is proposed by the State. 12 government colleges have been proposed to receive a grant of Rs. Two crore each for infrastructure up gradation. The total proposed amount for the 12th Plan Period is Rs. 24 crore and the fund sought for 2014-15 is Rs. 12 crore. The State has prioritized 6 colleges for funding in 2014-15 which have dire need to upgrade their infrastructure. The TSG recommends funding for 4 colleges (shortlisted on basis of 12 B / non 12 B and accreditation status) for 2014-15 for Rs.4 crores. (Details annexed at **Table 1**)
- VIII. Component 8: Research, Innovation and Quality Improvement (College and University has not been proposed.
- IX. Component 9: Equity Initiatives. The State seeks a grant for of Rs.4.98 crore for 2014-15 and Rs.1.66 crore for 2014-15. However, the proposal does not get prioritised for funding within the resource envelope of the State for 2014-15 (Rs.24.97 crore). Hence, the proposal is not recommended for funding.
- X. Component 10: Faculty Recruitment Support is proposed to facilitate faculty recruitment for colleges and universities. State has submitted proposal under this component claiming an amount of Rs.2.90 crores for the 12th Plan. However, no funds have been claimed for 2014-15. Hence, the proposal is not recommended for funding.
- XI. Component 11: Faculty Improvement has not been proposed.
- XII. Component 12: Vocationalisation of Higher Education: The State has sought a grant of Rs.0.60 crore for the XIIth Plan Period for four colleges and Rs.0.20 crore for 2014-15. However, the proposal is very sketchy as no details have been provided by the State. Hence, no funds are being recommended by the State.
- XIII. Component 13: Leadership Development: The State has sought a total grant of Rs. 0.42 crore for the State as a whole. This is a Centrally Administered Scheme and hence, the component would not be considered for funding under RUSA.
- XIV. Component 14: Institutional Restructuring and Reforms: The component comes partially under the ambit of preparatory grants which have already been

sanctioned to the state @ Rs. 2.7 Crore. Hence, the component won't receive any additional funding for this year.

- XV. Component 15: Capacity Building and Preparation, Data Collection and Planning: The component comes partially under the ambit of preparatory grants which have already been sanctioned to the state @ Rs. 2.7 Crore. Hence, the component won't receive any additional funding for this year.
- XVI. Component 16: Management Information System: This is a Centrally Administered Scheme and hence, the component would not be considered for funding under RUSA.
- XVII. Component 17: Support to Polytechnics: The total proposed amount for the 12th Plan Period is Rs.22.19 crore and the fund sought for 2014-15 is Rs.3.17 crore. The TSG recommends allocation of no amount to the State as it may be considered in the next PAB meeting.
- XVIII. Component 18: Management Monitoring Evaluation and Research (MMER) The TSG recommends allocation of no amount to the State as 1% of total outlay is to be automatically allocated to the State.

Gaps, Deficiencies in SHEP

The State has submitted a comprehensive State Plan after incorporating changes as suggested.

However, the State still needs to fill some data deficiencies especially those related to key higher education indicators like GER for SC/ ST, female population etc.

General comments on components proposed/ not proposed, prioritization

After appraisal TSG recommends funding for 2 components which adhere to RUSA norms. Rest of the components would be considered at a later stage. For components, Capacity Building and Institutional Restructuring, the funding has already given in form of preparatory grants,

c. Total Funds asked for by the States:

SL. NO.	COMPONENT	PHYSICAL QUANTITY (2014-15)	ALLOCATION SOUGHT (IN CRORE)			
			2014-15	2015-16	2016-17	TOTAL
1	Component 1: Creation of Universities by way of Up gradation	No Proposal				
2	Component 2: Creation of Universities by conversion of colleges in a cluster	No Proposal				
3	Component 3: Infrastructure Grants to University	No Proposal				
4	Component 4: New Model Colleges (General)	5 NEW MDC	12.00	24.00	24.00	60.00
5	Component 5: Up gradation to MDC	5 COLLEGES	8.00	12.00	-	20.00
6	Component 6: Professional Colleges (New)	2 COLLEGES	26.00	0	26.00	52.00
7	Component 7: Infrastructure Grants to Colleges	12 COLLEGES	12.00	12.00	0	24.00
8	Component 8: Research, Innovation and Quality Improvement	No Proposal				
9	Component 9: Equity Initiatives (College and University)		1.66	1.66	1.66	4.98
10	Component 10: Faculty Recruitment Support		NIL	1.45	1.45	2.90
11	Component 11: Faculty Improvement	No Proposal				
12	Component 12: Vocationalisation of Higher Education		20.00	20.00	20.00	60.00
13	Component 13: Leadership Development		1.14	1.14	1.14	3.42
14	Component 14: Institutional Restructuring and Reforms		5	5	5	15
15	Component 15: Capacity Building & Preparation, Data Collection & planning		1.66	1.66	1.66	4.98
16	Component 16: Management Information System		0.66	0.66	0.66	1.98

17	Component 17: Support to Polytechnics		3.17	9.51	9.51	22.19
18	Component 18: Management Monitoring Evaluation & Research		0.76	0.76	0.76	2.28
	TOTAL		72.25	72.04	72.04	215.33

Total Funds recommended by TSG:

Sl. No	Component	RUSA Eligible amount per unit	Units Recommended	Recommended Fund Allocation		Central share 2014-15	State Share 2014-15	Conditions for release
				2014-17	2014-15			
1.	Component 5: Up gradation to MDC	4	2	8	4	3.6	0.4	DPR
2	Component 6: Professional Colleges (New)	26	1	26	13	11.7	1.3	DPR
3	Component 7: Infrastructure Grants to Colleges	2	4	8	4	3.6	0.4	No condition
Financial Implications for 2014-15				42	21	18.9	2.1	

d. Approval sought from PAB:

- i) The Project Approval Board may consider and approve two up gradation to Model Degree College in Component 5 by granting Rs.4 crore to the State
- ii) The Project Approval Board may consider and approve granting an amount of Rs.13 crore for setting up of one professional college in 2014-15.
- iii) The Project Approval Board may consider and approve granting an amount of Rs.4 crore for 4 colleges in 2014-15.

Table 1

Sl. No.	Name of College	Year of Establishment	Status (As per UGC Act 12B/non 12B)	Category (Govt. / Govt. Aided / Pvt. / Autonomous / Constituent)	Accreditation Status (YES / NO)	Year and Grade	Total Students Strength	% of Women Students	% of SC Students	% of ST Students	% of OBC Students	% Minority Students
1	Govt. College, Bomdila, West Kameng	1988	12B	Govt.	Yes (2006-'B')		983	60.73	1.00	97.13	1.80	0.07
2	D. P. Govt. College, Kamki, West Siang	1996	12B	Govt.	Yes (2006-'B+')		157	56.00	0.05	99.89	0.06	0.00
3	Govt. College, Seppa, East Kameng	2009	Non 12B	Govt.	No		236	46.61	0.00	100.00	0.00	0.00
4	Govt. College, Doimukh, Papum Pare	2012	Non 12B	Govt.	No		281	50.53	0.00	100.00	0.00	0.00

Appraisal Note of Assam State Higher Education Plan

1. STATUS OF PREREQUISITES:

AGENENDA NOTE OF ASSAM STATE HIGHER EDUCATION PLAN

3. STATUS OF PREREQUISITES:

S.NO	PRE-REQUISITES	STATUS	REMARKS
1.	State Higher Education Council	Yes	The Assam SHEC has been constituted by an executive order on 7 th January 2014 vide order no. AHE. 534/2.13/13. The composition of the SHEC has been defined by the state and it has academic, planning & coordination, advisory, Financial and other functions.
2.	State Perspective Plan	Yes	The plan has been submitted with annual financial break-up for 12 th Plan period.
3.	Financial Contribution to Higher Education as a % of GSDP	Yes	State has spent 0.77%, 1.2 % & 1.31 % of GSDP for the Higher & technical education in 2011-12, 2013-14 & 2014-15 respectively. State has allocated a total fund of Rs. 203.36 Cr towards Higher & technical Education.
4.	Agreement to create separate fund for RUSA	Yes	The RUSA account is created in Canara bank, Account Number: 1861101015040 The state share has been already put in the account; however the central funds are still not acquired. state is expected to intimate the timeline
5.	Filling Faculty Vacancies	Yes	There is no ban on the recruitment and filling up of faculty positions in state universities and public and public aided colleges. No timeline though have been indicated for filling up the vacant seats.
6.	Accreditation reforms	Yes	State has indicated that out of 351 colleges only 57 are mentioned to be accredited. No perspective plan has been indicated however state has committed that they are focusing on accreditation of all colleges.
7.	Affiliation Reform	Yes	Average affiliation rate 69.8 (calculated based on information provided by state- total colleges affiliated against number of affiliating universities)
8.	Academic Examination Reforms	Yes	
9.	Governance Reforms	Yes	

10	Administrative Reforms	Yes	
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4. **WORK TO BE DONE BY STATES:**

- A. **PREPARATORY FUNDS** – the state has been provided with the preparatory grants to be utilized for planning, making SHEP, surveys, workshops, and setting up resource centre. An amount of Rs. has been given as preparatory funds; Rs. is given as MMER fund and Rs. 6 Crores as 2nd instalment for Model Degree College. State has submitted SHEP and conducted meetings of university heads.
- B. **STATE SHARE**: State HEC is also expected to acquire funds released within 15 days of receiving it in treasury along with their share to the dedicated RUSA account. State has got the necessary approval for account opening and is in process. State is also expected to provide utilization details of the grants received.
- C. **MODEL DEGREE COLLEGE**: State had submitted a proposal of 12 MDCs which were approved. The 2nd installment of Rs. 6 Cr has been released to the state. State is expected to provide utilization details for the further release of funds and status of MDCs so far.

5. **APPRAISAL OF SHEP/DCF SUBMITTED:-**

1. **Conversion of autonomous colleges into University**: No Proposal has been submitted by the state at present.
2. **Conversion of university by clustering of colleges to University**: State has submitted 2 proposals one each in north Lakhimpur cluster and other in JB college, Jorhat totalling Rs.110 Cr. @ Rs. 55 Cr each. The state however has sought no funding for year 2014-15 and has committed to submit the detailed plan in year 2015-16.
3. **Infrastructure Grants to Universities**:
A total of 5 universities are proposed @ 20 Crore each for Guwahati, Bodoland, Dibrugarh and Cotton college state university while Rs. 12. Cr for Women University. The total proposal is for Rs. 93 Crores for the plan period. All 5 universities proposed are

recommended for funding. A total amount of Rs. 93 Cr is recommended for the plan period and amount of Rs. 26 Crore for the year 2014-15 @ 5.20 Crore per university. The list of universities is attached at **Table 2.1**.

4. **New Model Degree Colleges:** No new proposal as state already has 12 MDCs in 12 EBDs.
5. **Up gradation of existing degree college to Model degree college:** State has proposed 5 colleges for up gradation @ 4 Crore per college. These 5 Colleges are proposed in Non-Educationally Backward Districts (EBDs) namely Udalgiri, Kamrup-Rural, Golaghat, Sivasagar and Dhemaji. Total amount proposed is Rs. 20 Crores. All 5 colleges are recommended for funding under this component totalling Rs 20 Crore @ 4 Crore per college for the plan period and 10 Crores @2 Crore per college for the year 2014-15.
6. **New Colleges (Professional):** 3 colleges @ 26 Crores have been proposed namely Nalbari, Nagaon and Udalgiri. All 3 districts are category districts and eligible. All 3 colleges may be recommended as there is a dearth of govt. engineering college in state. the recommendation totals to Rs. 78 Cr for plan period and Rs. 30 Cr for year 2014-15 @ Rs. 13 Cr per college.
7. **Infrastructure Grants to Colleges:** State has given a proposal of total 40 colleges out of which only 17 colleges are proposed for current year. Of these 17 colleges, 4 are technical colleges, 4 are govt. colleges and 9 are govt. aided colleges. For year 2014-15, Rs. 34 Cr is proposed for 17 colleges @ 2 Cr per college. A total amount of Rs. 12 Cr @ 2 crore per College is recommended for the plan period and Rs. 6 Crore @ 1 Crore per college for the year 2014-15. However, state has proposed inclusion of 30 colleges under this component which will be subject to PAB approval. Details of the colleges annexed at **Table 2.0**.
8. **Research, Innovation and quality improvement:** State has not submitted a detailed proposal at this stage. However state has proposed for an amount of Rs. 2 Cr towards encouraging students to engage in research and related activities as a preparatory work. The detailed proposal would be submitted in year 2015-16. No recommendation is made for year 2014-15 is Rs. 2 Cr.

9. **Equity Initiatives:** State has proposed an amount of Rs. 5 Cr for various initiatives under equity. A total recommendation of Rs. 5 Cr is made for plan period while Rs. 3.8 Cr is being recommended for year 2014-15.
10. **Faculty Recruitment Support:** No Proposal has been submitted by the state at present.
11. **Faculty Improvement:** state has proposed Rs. 10 Cr for 1 Academic Staff College for the plan period. A total recommendation of Rs. 10 Cr is made for plan period and no money has been sought for year 2014-15.
12. **Vocationalization of Higher Education:** State has submitted a proposal of Rs. 15 Cr for the year 2014-17 and Rs. 2.2 Cr for year 2014-15. The recommended amount for the year 2014-15 is Rs. 2.2 Cr and Rs. 15 Cr for the plan period.
13. **Leadership Development of Educational Administrators:** No Proposal has been submitted by the state at present.
14. **Institutional restructuring and reforms:** No Proposal has been submitted by the state at present.
15. **Capacity Building, preparation, data Collection and planning:** No Proposal has been submitted by the state at present.
16. **Management Information System:** No Proposal has been submitted by the state at present.
17. **Support to polytechnics:** No Proposal has been submitted by the state at present.
18. **Management, Monitoring, Evaluation and Research:** No Proposal has been submitted by the state at present.

The resource envelope of the State is Rs. 108.01 Crore of which the centre share is Rs. 97.20 Crores and State Share is Rs. 10.80 Crores.

6. **GAPS, DEFICIENCIES IN SHEP:** state is expected to provide the baseline data along with the perspective data in the plan. The physical and financial outlays (annual break-up) are provided for almost all barring two components from the programmatic norms. State may please indicate the base price of the civil works in case of construction related components, in the state for the purpose of cross verification.

GENERAL COMMENTS ON COMPONENTS PROPOSED/ NOT PROPOSED, PRIORITIZATION:

The state has been able to identify the key areas they seek funding and have prioritized accordingly. However, certain components were not recommended as they do not seek funding this year inspite of fulfilling the eligibility criteria. . For the component not considered for funding the state either is not ready to rationalize and utilize the funds or the details provided were sketchy and incomplete. Some components like MIS, Leadership development are centrally administered at the moment and state was informed about it during face to face interaction, hence no funding is sought. For components capacity building and institutional restructuring the funding has been already given in form of preparatory grants and further funding would be made subject to submission of the utilization outlay for the grant given.

7. TOTAL FUNDS ASKED FOR BY THE STATES:

S.NO.	COMPONENTS	XIIth PLAN		2014-15	
		PHYSICAL (NUMBERS)	FINANCIAL IN CRORES	PHYSICAL (NUMBERS)	FINANCIAL IN CRORES
1	Up-gradation of existing autonomous college to universities	00	00	00	00
2	Conversion of college to cluster universities	2	110	00	00
3	Infrastructure grants to university	5	93	5	26
4	New model degree college	00	00	00	00
5	Up-gradation of existing colleges into MDCs	5	20	5	10
6	New professional colleges	3	72	3	30
7	Infrastructure Grants to colleges	40	80	17	34
8	Research, Innovation and quality improvement	State as a unit		State as a unit	2
9	Equity Initiative	State as a unit	5	State as a unit	3.8
10	Faculty recruitment Support	00	00	00	00
11	Faculty improvements	State as a unit	10	State as a unit	00
12	Vocationalization of Higher Education	State as a unit	15	State as a unit	2.2
13	Leadership development of educational administrators		00	00	00
14	Institutional restructuring and reforms		00	00	00
15	Capacity building and preparation, data collection & planning		00	00	00
16	Management information system		00	00	00
17	Support to polytechnics		00	00	00
18	MMER		00	00	00
	TOTAL		531		108

8. TOTAL FUNDS RECOMMENDED BY TSG FOR THE YEAR 2014-15:

S. NO	COMPONENTS	PHYSICAL 2014-15 (Unit)	FINANCIAL PLAN		FUNDS RECOMMENDED		CONDITIONS
			XII PLAN	2014-15	CENTRAL SHARE	STATE SHARE	
3	Infrastructure grants to university	05	93	26	23.40	2.60	None
5	Up-gradation of existing colleges into MDCs	5	20	10	9	1	Submission of DPR
6	New professional colleges	3	78	30	27	3	Submission of DPR
7	Infrastructure Grants to colleges	6	12	6	5.40	0.60	None
9	Equity Initiative	State as a unit	5	3.8	3.42	0.38	None
12	Vocationalization of Higher Education	State as a unit	15	2.2	1.98	0.22	None
	TOTAL		223	78	70.2	7.8	

9. APPROVAL SOUGHT FROM PAB:

- 1. INFRASTRUCTURE GRANT TO UNIVERSITY:** An amount of 46.50 Crores is recommended for each university for the year 2014-15. A total of 5 universities are being recommended for funding. Hence, approval from PAB is sought for Rs 30 Crore for year 2014-15.
- 2. UPGRADATION OF EXISTING COLLEGES TO MODEL DEGREE COLLEGE:** 5 districts are recommended for upgradation of colleges to MDC namely Udalgiri, Kamrup-Rural, Tangla, Dhemaji and Sivasagar. PAB is requested to provide in-Principal approval to these 5 proposals subject to submission of DPRs to total of Rs. 10 Cr.
- 3. NEW PROFESSIONAL COLLEGE:** 3 districts are recommended for funding for a total of Rs. 30 Crore for year 2014-15. PAB is requested to provide in- principal to these 3 colleges subject to submission of DPR.
- 4. INFRASTRUCTURE GRANTS TO COLLEGE:** A total of 6 colleges are recommended for funding @ Rs. 1 Crore. The approval is sought for the 6 colleges for year 2014-15 for total of Rs. 6 Crores. PAB is also requested to consider the proposal of 30 new colleges and funding may be recommended to additional 30 Cr for the year 2014-15.
- 5. EQUITY INITIATIVES:** TSG is recommending a total of Rs. 5 Cr for the plan period and Rs. 3.8 Cr for the year 2014-15. PAB is requested to approve funding of RS. 2.5 Cr for year 2014-15

6. **VOCATIONALIZATION:** : PAB is requested to approve a total funding of Rs. 15 Cr for the plan period and Rs. 2.2 Crore for the year 2014-15.

<u>TSG FUND RECOMMENDATION – FUNDS IN CRORE</u>			
<u>S.NO.</u>	<u>TOTAL</u>	<u>CENTRE SHARE</u>	<u>STATE SHARE</u>
FUNDS RECOMMENDED FOR 2014-17	223	200.70	22.30
FUNDS RECOMMENDED FOR 2014-15	78	70.2	7.80

Total recommended allocation for 2014-17 is Rs. 223 Crore Out of which the centre share is Rs. 200.70 Crore and state share is Rs. 22.30 Crore.

The total recommended allocation for the 2014-15 is Rs. 78 Crore Out of which the centre share is Rs. 70.20 Crore and state share is Rs.7.80 Crore.

Table 2.0

LIST OF COLLEGES RECOMMENDED FOR INFRASTRUCTURE GRANTS

SL. NO.	NAME OF THE COLLEGE	CATEGORY	AICTE/ NAAC ACCREDITATION	12 B STATUS	YEAR OF ESTABLISHMENT	NUMBER OF STUDENTS ENROLLED			
						SC	ST	WOMEN	TOTAL
1	Assam Engineering College	Govt	AICTE		1955	154	338	410	1590
2	Jorhat Engineering College	Govt	AICTE		1960	108	256	247	2050
3	Jorhat Institute of Science & Technology (formerly Science College, Jorhat)	Govt	AICTE	12B (for Science College)	2008 (1971 for Science College)	56	60	72	370
4	Bineswar Brahma Engineering College	Govt	AICTE		2010	62	79	61	386
5	Cotton College	Govt	A (CGPA 3.39)	12B	1901	212	414	1862	2708
6	Diphu Govt. College	Govt	B++	12B	1964	44	1357	742	1725

Table 2.1

LIST OF UNIVERSITIES FOR INFRASTRUCTURE GRANTS

S.No	University	Year of establishment	12 B Status	NAAC Status
1	Women University, Jorhat	2013	Non 12 B	NE
2	Guwahati University	1947	12 B	B
3	Dibrugarh University	1965	12 B	B
4	Bodoland University	2009	Non 12 B	NE
5	Cotton College University	2011	Non 12 B	NE

PAB Note of Chhattisgarh State Higher Education Plan

1. Status of Prerequisites:

Sl. No.	Prerequisite	Key Questionnaire	State's response (YES/NO)/ Commitment as per a set timeline
1	State Higher Education Council	Does the State agree to Create the State Higher Education Council according to the suggestion made under RUSA	Yes, State Higher Education Council has been created vide order No F-15-49/2013/38-1 Dated 29 th January 2014
2	State Perspective Plan	Does the state agree to create and submit the State Higher Education Plan according to prescribed guidelines	Yes, State Higher Education Plan has been developed as per prescribed guidelines and being send to RUSA National Directorate.
3	Financial Contribution to Higher Education as a % of GSDP	Does the state agree to scale up to and maintain prescribed levels of funding to higher education as a % of State Gross Domestic Product (GSD)	Yes; 2013-14 (Baseline Data) :- 0.463 % ; 2014-15 :- 0.585 % ; 2015-16 :- 0.600 % ; 2016-17 :- 0.615 %
4	Adherence to timelines for fund release	Does the State agree to share the project cost of the Government funded and aided institutions with MHRD in the applicable ration (10:90, 35:65)	Yes
5	Agreement to create separate fund for RUSA	Does the state agree to create separate fund for RUSA	Yes, A separate account in Canera Bank Raipur has been open on 07-03-2014 BANK ACCOUNT NO. 0381101025831, IFSC Code - CNRB0000381, MICR Code – 492015002
6	Filling Faculty Vacancies	Does the state agree to fill up vacant faculty positions	Yes, Recruitment Processes has been initiated for 944 Post of Assistant Professors in colleges. Respective Universities have also initiated recruitment process and in some universities interviews for selection of faculty are being hold.

7	Accreditation reforms	Does the state commit for all state HEIs to apply for accreditation	Yes, All HEIs are being geared-up for accreditation by NAAC. 118 institutions have submitted LOIs so far since April 2013.
8	Affiliation and examination reforms	Does the state agree to implement all affiliation reforms mentioned under RUSA	Yes
9	Governance and Administrative reforms at State Level	Does the state agree to implement all the sectoral governance reforms mentioned under RUSA	Yes
10	Institutional governance (Administrative) reforms	Does the state agree to implement all the Institutional governance/ Administrative reforms mentioned under RUSA	Yes

2. Work to be done by States:

- a. Preparatory funds – The State has been provided with preparatory grants amounting to Rs. 2.6 crore to be utilized for planning, making DHEP, surveys, workshops and setting up resource centre. The State has also been released MMER funds amounting to Rs.2.6 lakhs to enable it undertake activities like management development and monitoring specific to RUSA.

The State is required to provide details regarding heads of utilization for the above.

- b. State share – The state share along with central share has NOT been transferred to the SHEC.

Summary of SHEP/DCF submitted:

- I. **Component 1:** Creation of Universities by way of Up gradation has been proposed. The State of Chhattisgarh has proposed setting up of 1 new university namely Durg University as the new State University by up grading Govt. V. Y. T. P. G Autonomous College, Durg. The TSG recommends setting up of this University as it fulfils all RUSA requirements. The total proposed amount for the 12th Plan Period is Rs. 55.48 crore and the fund sought for 2014-15 is Rs. 20 crore. The TSG recommends this amount be granted for 2014-15
- II. **Component 2:** Creation of Universities by conversion of colleges in a cluster has not been proposed.
- III. **Component 3:** Infrastructure Grants to University has been proposed for 10 Universities. The State has prioritized funding of 4 universities namely: Bastar University, Jagdalpur; Sarguja University, Ambikapur; Bilaspur University, Bilaspur and Pt. Ravishankaruniversity, Raipur. The first three universities are non 12B and in dire need of funds for infrastructure up scaling. However, since the the State has requested for funds only for new construction in the 3 universities as they are currently functioning from rented buildings. The Pt. Ravishankar Univeristy is a 12B and old established college but has been prioritized due to the need to modernize classrooms, laboratories, update learning resources etc.
The total proposed amount for the 12th Plan Period is Rs. 200 crores and the the fund sought for 2014-15 is Rs. 67 crore for 10 universities. The TSG does not recommend this component to be funded.
- IV. **Component 4:** The State has proposed 13 new model Degree Colleges. All districts are Educationally Backward Districts. All districts have high percentage of SC and ST population. . The total proposed amount for the 12th Plan Period is Rs. 156.07 crore, but however, the fund sought for 2014-15 is Rs. 52 crore for 13 colleges . However, the TSG recommends that only two new model degree college be set up in Jagdalpur,Bastar and Kanker district in 2014-15. These two districts have been identified on the basis of high proportion of socially and economically backward population, extremely low GER and CPI. Also, since Chhattisgarh is affected by extremist violence, the feasibility of constructing a new college in these districts has been taken into account.
Total fund proposed is Rs. 12 crore for two colleges in 2014-15.
- V. **Component 5:** Up gradation to MDC has been proposed for 15 colleges in 15 districts of the State. The total funds claimed is Rs. 90.01 crore in XII plan and Rs. 20 crore in 2014-15. However, since each of the above mentioned 15 districts is non EBD, no recommendation can be made by the TSG without violating the RUSA norms.

- VI. **Component 6:** New Colleges (Professional) has not been proposed by the State of Chhattisgarh.
- VII. **Component 7:** Infrastructure Grants to Colleges is proposed by the State. 230 government and government aided colleges have been proposed to receive a grant of Rs. Two crore each for infrastructure up gradation. The total proposed amount for the 12th Plan Period is Rs. 460.51 crore and the fund sought for 2014-15 is Rs. 171.81 crore. The State has sought that funds for 27 government colleges, one in each district (List submitted by the State) be allocated for a total of Rs. 27 crore for 2014-15. Out of the 27 Colleges, 8 colleges have been further shortlisted by TSG on non 12B and accreditation status. The TSG recommends funding of Rs 8 crores for this component @Rs 1 crore/ college for 2014-15.
Please see Table 3.0.
- VIII. **Component 8:** Research, Innovation and Quality Improvement (College and University). The State has proposed 230 colleges to receive grants under this heading. The total proposed amount for the 12th Plan Period is Rs. 12000 lakhs and the fund sought for 2014-15 is Rs. 42 crores. However, the TSG suggests that funds maybe granted later as the State has not furnished any Research and Innovation Plan (RIP). Also, the proposal is not outcome based and details in regards with faculty, resources, Innovation hubs, research activities and centers, science parks, etc must be provided.
- IX. **Component 9:** Equity Initiatives. The State seeks a grant for all 230 government and government aided colleges to ensure better participation of SC/ST/ OBC through for building equal opportunity cells, creating financial scholarship, equity and gender sensitization campaigns. The total proposed amount for the 12th Plan Period is Rs. 5 crores and the fund sought for 2014-15 is Rs. 1.7 crore. However, the proposal does not get prioritised for funding within the resource envelope of the State for 2014-15 (Rs 109.00 crore). Hence, the proposal is not recommended for funding.
- X. **Component 10:** Faculty Recruitment Support is proposed to facilitate faculty recruitment for colleges and universities. State has submitted proposal under this component claiming an amount of Rs. 286 crores for the 12th Plan and Rs. 95.33 crore for the year. However, the Student-teacher ratio of the state not been provided by the State. Also, the proposal does not get prioritised for funding within the resource envelope of the State for 2014-15 (Rs 109.00 crore). Hence, the proposal is not recommended for funding.
- XI. **Component 11:** Faculty Improvement has been proposed for Rs 10 crore in XIIth Plan and Rs.2 crore in 2014-15. The proposal does not get prioritised for funding within the

resource envelope of the State for 2014-15 (Rs 109.00 crore). Hence, the proposal is not recommended for funding.

- XII. **Component 12:** Vocationalisation of Higher Education :The State has sought a grant of Rs. 15 crore to colleges to introduce a trade/vocation according to the NSQF. The total proposed amount for the 12th Plan Period is Rs. 1500 lakhs and the fund sought for 2014-15 is Rs. 2 crore. The proposal does not get prioritised for funding within the resource envelope of the State for 2014-15
- XIII. **Component 13:** Leadership Development : The State has sought a total grant of Rs. 5 crore in the 12th plan period and Rs. 0.1 crore in 2014-15. This is a Centrally Administered Scheme and hence, the component would not be considered for funding under RUSA.
- XIV. **Component 14:** Institutional Restructuring and Reforms: The component comes partially under the ambit of preparatory grants which have already been sanctioned to the state @ Rs. 2.6 Crore. Hence, the component won't receive any additional funding for this year.
- XV. **Component 15:** Capacity Building and Preparation, Data Collection and Planning: The component comes partially under the ambit of preparatory grants which have already been sanctioned to the state @ Rs. 2.6 Crore. Hence, the component won't receive any additional funding for this year.
- XVI. **Component 16:** Management Information System: This is a Centrally Administered Scheme and hence, the component would not be considered for funding under RUSA.
- XVII. **Component 17:** Support to Polytechnics: The total proposed amount for the 12th Plan Period is Rs.46 crores and the fund sought for 2014-15 is nil. The TSG recommends allocation of no amount to the State as it may be considered in the next PAB meeting.
- XVIII. **Component 18:** Management Monitoring Evaluation and Research (MMER) The TSG recommends allocation of no amount to the State as 1% of total outlay is to be automatically allocated to the State.

Gaps, Deficiencies in SHEP

The State has submitted a comprehensive State Plan after incorporating changes as suggested.

However, the State still needs to fill some data deficiencies especially those related to key higher education indicators like GER for SC/ ST, female population etc.

General comments on components proposed/ not proposed, prioritization

After appraisal TSG recommends funding for 2 components which adhere to RUSA norms. Rest of the components would be considered at a later stage. For components, Capacity Building and Institutional Restructuring, the funding has already given in form of preparatory grants,

3. Total Funds asked for by the States:

SL. NO.	COMPONENT	PHYSICAL QUANTITY (2014-15)	ALLOCATION SOUGHT (IN RS. CRORE)			
			2014-15	2015-16	2016-17	TOTAL
1	Component 1: Creation of Universities by way of Up gradation	1	20	20	15	55
2	Component 2: Creation of Universities by conversion of colleges in a cluster	No Proposal				
3	Component 3: Infrastructure Grants to University	10	40	80	80	100
4	Component 4: New Model Colleges (General)	12	48	48	48	144
5	Component 5: Up gradation to MDC	15	20	20	20	60
6	Component 6: Professional Colleges (New)	No Proposal				
7	Component 7: Infrastructure Grants to Colleges	230	172	200	88	460
8	Component 8: Research, Innovation and Quality Improvement	-	42	42	36	12
9	Component 9: Equity Initiatives (College and University)	-	1.7	1.8	1.5	5
10	Component 10: Faculty Recruitment Support	822	95.33	95.33	95.34	286.00

11	Component 11: Faculty Improvement	-	2	4	4	10
12	Component 12: Vocationalisation of Higher Education	-	2	6	7	15
13	Component 13: Leadership Development	-	1	2.57	2.33	5
14	Component 14: Institutional Restructuring and Reforms	-	6	8	6	20
15	Component 15: Capacity Building & Preparation, Data Collection & planning	-	6	2	2	10
16	Component 16: Management Information System	-	4	4	2	10
17	Component 17: Support to Polytechnics	-	0	23	23	46
18	Component 18: Management Monitoring Evaluation & Research	-	18.37	22.27	17.20	57.84
	TOTAL		477.50	578.97	447.37	1503.84

4. Total Funds recommended by TSG:

S. No	Component	RUSA Eligible amount per unit (in Rs. crore)	Units Recommended	Recommended Fund Allocation (in Rs. crore)		Central share 2014-15	State Share 2014-15	Conditions for release
				2014-17	2014-15			
1.	Component 1 : Creation of Universities by way of Up gradation	55	1	55	20	13	7	DPR
2.	Component 4 New Model Degree College	12	2	24	12	7.8	4.2	DPR
3.	Infrastructure Grants to Colleges	2	8	16	8	5.2	2.8	Non Conditional
Total Financial Implication				95	40	26	14	

5. Approval sought from PAB:

- i. The Project Approval Board may consider and approve the Creation of 1 University by by way of Up gradation by granting Rs. 20 crores in 2014-15.
- ii. The Project Approval Board may consider and approve two new Model Degree college in Component 4 by granting Rs 12 crore to the State of Chhattisgarh in 2014-15.
- iii. The Project Approval Board may consider and approve Infrastructure Grants to 9 colleges for Rs. 9 crores for 2014-15

Table 3.0

Sr.No	Name Of The College	District	Category (Govt./Aided/Private)	12B Of UGC ACT
1	Govt College Bishrampur	Surajpur	Govt	No,
2	Govt Rds College Udaypur	Sarguja	Govt	No
3	Govt Vijay Bhushan Singh Dev Girls College Jashpur	Jashpur	Govt	No
4	Govt. College, Sargaon, Distt. Mungeli	Mungeli	Govt.	No
5	Govt College Jamgaon R	Durg	Govt.	No
6	Govt. Eklavya College, Daundi- Lohara	Balod	Govt.	No
7	Govt. R.V.Sindhya Girls College, Kawardha	Kabirdham	Govt.	No
8	Govt Narayan Rao Meghawale Girls College, Dhamtari	Dhamtari	Govt.	No

Appraisal Note on Mizoram State Higher Education Plan
Appraisal Note on Mizoram State Higher Education Plan

1. Status of Prerequisites:

Sl.No.	Prerequisite	Key Questionnaire	State's Response (Yes/No)	Current Status of Work Done
11.	State Higher Education Council	Does the State agree to Create the State Higher Education Council according to the suggestion made under RUSA	Yes	<p>The State Higher Education Council was created on 13th May 2014. The Minister for Higher and Technical Education Mr.R. Romawia is the Chairperson of the SHEC and the Parliamentary Secretary is the Vice-Chairman. Prof. K.L Pradhan is the Member secretary.</p> <p>The composition of the SHEC is in accordance with the RUSA norms.</p> <p>The State's RUSA bank account has been opened at IDBI Bank with Account Number: 0159104000119375</p>
12.	Financial Contribution to Higher Education as a % of GSDP	Does the state agree to scale up to and maintain prescribed levels of funding to higher education as a % of State Gross Domestic Product.	Yes	The State's expenditure on higher education as a %age of GSDP in 2012-13 was 1.26 % of the GSDP. The State has committed to raise its expenditure on higher education.
13.	State Perspective Plan	Does the state agree to create and submit the State Higher Education Plan according to prescribed guidelines	Yes	The State had submitted the first draft of the SHEP in August 2014 and the revised version in September 2014.
14.	Agreement to create	Does the state agree to create separate fund for RUSA	Yes	Although the State share has not been earmarked so far, it has been communicated that the State's matching

	separate fund for RUSA			share of 10% would be earmarked in the revised budget estimate.
15.	Filling Faculty Vacancies	Does the state agree to fill up vacant faculty positions	Yes	The State agreed to fill up vacant faculty positions. However, the timelines are not indicated.
16.	Accreditation Reforms	Does the state commit for all state HEIs to apply for accreditation	Yes	It has been informed that all the higher educational institutions in the State have applied for accreditation.
17.	Affiliation and Examination Reforms	Does the state agree to implement all affiliation reforms mentioned under RUSA	Yes	It has been informed that all the higher educational institutions in the State are affiliated to Mizoram Central University. And therefore, the role of the State in implementing reforms is very limited. However, integration of continuous internal evaluation and end semester evaluation have already been implemented in the State. It has also been informed that various steps would be taken to implement all the affiliation and examination reforms as proposed under the RUSA.
18.	Governance and Administrative Reforms at State Level	Does the state agree to implement all the sectoral governance reforms mentioned under RUSA	Yes	It has been informed that the State would implement all the sectoral governance reforms mentioned under RUSA. The State Higher Education Council would be converted to a statutory body through an Act of the State legislature.
19.	Institutional governance (administrative) reforms	Does the state agree to implement all the Institutional governance/Administrative reforms mentioned under RUSA	Yes	The State has implemented the UGC Regulations 2010 with regard to college faculty and strictly follows the API/PBAS for promotion.

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2. Work to be done by the State

- a) Preparatory funds: The State has so far utilised Rs.35.97 lakhs from the preparatory funds.
- b) State Share: Although the State share has not been earmarked so far, it has been communicated that the State's matching share of 10% would be earmarked in the revised budget estimate. The funds so far transferred have only been transferred to the RUSA account of the State Project Directorate. The State may, therefore, take necessary steps to transfer the RUSA funds to the RUSA bank account of the State Higher Education Council along with the State's share.

3. Appraisal of SHEP/DCF Submitted:

A. Physical and financial details

1. Up-gradation of existing autonomous colleges to universities

No proposal from the State government.

2. Conversion of colleges to cluster universities

The State has submitted a proposal for setting up a university by conversion of four colleges in a cluster with Govt. Aizawl North College as the lead college. However, this proposal is not recommended for the following reasons:

1. None of the proposed partnering and lead colleges has "autonomous" and "College with Potential for Excellence" status.
2. None of these colleges has postgraduate programmes.
3. The lead college is proposed to be relocated to Aibawk in the same district.
4. The other colleges of the proposed cluster; Govt. Johnson College, Govt. T. Romana College and Govt. Aizawl West College together have only 1.2 acres land only.

3. Infrastructure Grants to Universities

The State currently does not have a single State-funded university.

4. New Model Colleges

No proposal from the State government. The State has clarified that all the districts in the State except Kolasib and Serchip currently have two colleges each. Kolasib and Serchip districts have one college each. If new colleges come up in these districts, it would affect the student strength of already existing colleges as the catchment areas remain same.

5. Upgradation of Existing Degree Colleges to Model Degree Colleges

The State has proposed eleven colleges. Of these seven colleges are located in EBD districts which are not covered for upgradation under the RUSA norms. All the remaining four are from Aizawl district. They are Govt. Zirtiri Residential Science

College, Govt. Aizawl College, Govt. Hrangbana College and Govt. J. Thankima College. Of these, Govt. Hrangbana College, which was established in July 1981 is recommended for funding. This is the only college in the State that has got NAAC's "A" grade till date. For the year 2014-15, an amount of Rs. 1 crore is recommended for Govt. Hrangbana College as against the total recommended amount of Rs.4 crore during the Plan period.

6. New Colleges (Professional)

The State has proposed the setting up of four new professional colleges: Engineering College in Lunglei District, State Institute of Fashion Technology and Institute of Management and Commerce in Aizawl District and College for Sports and Physical Education Thenzawl district. Among these proposals, the proposal for a new Engineering college in Lunglei is recommended for funding at the proposed cost of Rs.26 crore during the plan period. For the year 2014-15, 30% of the amount recommended, i.e., Rs.7.8 crore is recommended on the condition that the State submit a detailed project report for the establishment of this college.

7. Infrastructure Grants to Colleges

The State has submitted proposals for grants to all the 24 government colleges in the State. Of these 22 colleges are recommended as indicated in **Table 4.2**. All the 22 recommended colleges, come under the 2(f) and 12(B) category of the UGC. The two colleges which are not recommended for funding are Govt. Hrangbana College and Mizoram Hindi Training College. Among the two colleges that are not recommended, Govt. Hrangbana College has been recommended for upgradation for a Model College. The Govt. Hindi Training College, which has not been recommended is a non-2(f) college which is affiliated to Central Institute of Hindi in Agra. For the year 2014-15, an amount of Rs. Rs.11 crore is recommended for 22 colleges (25% of the total outlay recommended) on the condition that the non-accredited colleges which have not yet applied for accreditation shall apply for the accreditation of the NAAC.

8. Research, Innovation and Quality Improvement

The government has sought funds for 24 colleges. Only one college "Institute of Advanced Study in Education (IASE)" is recommended for funding under this component. Because all the other 23 colleges offer only undergraduate programmes. The recommended college, IASE, is the only postgraduate-level institution in the State funded by the State government and the State wants to create a regional resource centre for educational research and development at the IASE. The IASE was established in 1975 (earlier it was known as "as the Mizoram Institute of Education (MIE)". For the year 2014-15, an amount of Rs.20 lakh is recommended (20% of the total Rs.1 crore recommended during the Plan period) on the condition that the State submit a detailed project report for the establishment of a regional resource centre for educational research and development at IASE.

9. Equity Initiatives

The State government has proposed funds for 24 colleges. However, the non-2(f) college "Govt. Hindi training College" is excluded from the recommendation for funding. For the year 2014-15, an amount of Rs.57 lakh is recommended (25.22% of

the total Rs.2.26 crore recommended for 23 colleges during the Plan period) as indicated in **Table 4.0**.

10. Faculty Recruitment Support

The State has proposed funds for filling 105 faculty positions in the 24 colleges. Under this component support will be provided to fill positions in the category of Assistant Professor or equivalent cadre against vacancies. However, only the State universities are eligible to receive grants under this programme. The State of Mizoram currently does not have a State university and hence not recommended for funding.

11. Faculty Improvement

No proposal from the State government.

12. Vocationalisation of Higher Education

The State has proposed funds for all the 24 colleges in the State for starting career-oriented courses in various areas. For the year 2014-15, an amount of Rs.2.88 crore is recommended (20% of the total **Rs. 14.38** crore recommended during the Plan period) for funding to 23 colleges as indicated in **Table 4.1** on the condition that the State submit a detailed project report. Govt. Hindi Training College is excluded from funding as it is a non-2(f) institution.

13. Leadership Development of Educational Administrators

This component is centrally administered and hence not recommended for funding.

14. Institutional Restructuring and Reforms

This component comes partially under the ambit of preparatory grants for which Rs.2.7 crore has already been released.

15. Capacity Building and Preparation, Data Collection and Planning

This component comes partially under the ambit of preparatory grants for which Rs.2.7 crore has already been released.

16. Management Information System

Not Recommended

17. Support to Polytechnics

New proposals are not accepted at this stage under this component.

B. Gaps, deficiencies in SHEP – data, incompleteness, critical gaps not identified/addressed

The State shall submit the revised Plan in DCF format which shall be complete in all respects.

C. General comments on components proposed/not proposed, prioritization:

Funding is recommended for five components as only these proposals adhered to the basic norms of RUSA. Certain components like MIS, Leadership development are centrally administered at the moment and hence no funding is recommended. For components like Capacity Building, funding has been already given in form of preparatory grants.

D. Total Funds Asked by the State

S. No.	Components	12 th Plan		2014-15	
		Physical	Financial(in crores)	Physical	Financial(in crores)
1	Creation of Universities by way of upgradation of existing Autonomous Colleges	0	0	0	0
2	Creation of Universities by conversion of colleges in a cluster	1	55	1	15
3	Infrastructure Grants to Universities	0	0	0	0
4	New Model Colleges (General)	0	0	0	0
5	Upgradation of existing Degree Colleges to Model Degree Colleges	11	44	11	11
6	New Colleges (Professional)	4	104	4	31.20
7	Infrastructure Grants to Colleges	24	48	24	12
8	Research, Innovation and Quality Improvement	24	12.5	24	2.5
9	Equity Initiatives	24	2.35	24	.59
10	Faculty Recruitment Support	102	17.74	102	5.91
11	Faculty Improvement	0	0	0	0
12	Vocationalisation of Higher Education	24	15	24	3

13	Leadership Development of Educational Administrators	0	0	0	0
14	Institutional Restructuring and Reforms	3	10.5	3	2
15	Capacity Building and Preparation, Data Collection and Planning	1	6	1	1
16	Management Information System	1	2	1	.3
17	Support to Polytechnics	2	4.71	2	1
18	Management Monitoring Evaluation and Research (MMER)	NA	NA	NA	NA
	TOTAL		321.86		85.5

E. Total Funds Recommended by the TSG (for 2014-15)

Component No.	Component	Physical Units	Total Amount Recommended during the 12th Plan	Amount Recommended for 2014-15	Central Share	State Share	Conditions for Release
			(In Crores)	(In Crores)	(In Crores)	(In Crores)	
1	Creation of Universities by way of upgradation of existing Autonomous Colleges	0	0	0	0	0	0
2	Creation of Universities by conversion of colleges in a cluster	0	0	0	0	0	0
3	Infrastructure Grants to Universities	0	0	0	0	0	0

4	New Model Colleges	0	0	0	0	0	0
5	Upgradation of Existing Degree Colleges to Model Degree Colleges	1	4	1	0.9	0.1	DPR may be submitted
6	New Colleges (Professional)	1	26	7.8	7.02	.78	DPR may be submitted
7	Infrastructure Grants to Colleges	22	44	11	9.9	1.1	NIL
8	Research, Innovation and Quality Improvement	1	1	.2	.18	.02	DPR may be submitted
9	Equity Initiatives	23	2.26	.57	0.51	0.06	NIL
10	Faculty Recruitment Support	0	0	0	0	0	0
11	Faculty Improvement	0	0	0	0	0	0
12	Vocationalisation of Higher Education	23	14.38	2.88	2.59	.29	DPR may be submitted
13	Leadership Development of Educational Administrators	0	0	0	0	0	0
14	Institutional Restructuring and Reforms	0	0	0	0	0	0
15	Capacity Building and Preparation, Data Collection and Planning	0	0	0	0	0	0
16	Management Information System	0	0	0	0	0	0

17	Support to Polytechnics	0	0	0	0	0	0
18	Management Monitoring Evaluation and Research (MMER)	0	0	0	0	0	0
Total			91.64	23.45	21.1	2.35	

TSG Fund Recommendation(Amount in Crores)			
Centre: State(ratio)	Centre	State	Total
90:10	21.1	2.35	23.45

4). Approval Sought from the PAB

1. Upgradation of Existing Degree Colleges to Model Degree Colleges

The PAB may approve the upgradation of Govt. Hrangbana College to a Model degree College. For the year 2014-15, an amount of Rs. 1 crore may be approved as against the total recommended amount of Rs.4 crore during the Plan period, on the condition that the State submit a detailed project report.

2. New Colleges (Professional)

The State government's proposal for setting up a new engineering college in Lunglei District may be approved at the cost of Rs.26 crore during the plan period. For the year 2014-15, 30% of the amount recommended ,i.e., **Rs.7.8** crore may be approved on the condition that the State submit a detailed project report for the establishment of the college.

3. Infrastructure Grants to Colleges

For the year 2014-15, an amount of Rs. Rs.11 crore is recommended for 22 colleges (25% of the total outlay recommended) on the condition that the non-accredited colleges which have not yet applied for accreditation shall apply for the accreditation of the NAAC.

4. Research, Innovation and Quality Improvement

The PAB may approve Rs.1 crore to the Institute of Advanced Study in Education (IASE) for the Plan period. For the year 2014-15, an amount of Rs.20 lakh is recommended (20% of the total amount recommended during the Plan period) on the condition that the State submit a detailed project report for the establishment of a regional resource centre for educational research and development at IASE.

5. Equity Initiatives

The PAB may approve Rs.2.26 crore to 23 colleges in the State as indicated in **Annexure 1**. For the year 2014-15, an amount of Rs.57 lakhs (25.22 of the total amount recommended for the Plan period) may be approved.

6. Vocationalisation of Higher Education

The PAB may approve an amount of Rs.2.88 crore (20% of the total **Rs. 14.38** crore recommended during the Plan period) for funding 23 colleges as indicated in **Table -4.1** for starting career-oriented courses in various areas.

Table 4.0

List of Colleges Proposed under Component 9 “Equity Initiatives”

	Name of Colleges	Amount Recommended(in lakhs)
1	Govt. Aizawl College	12
2	Govt. Hrangbana College	12
3	Govt. Lawngtlai College	9
4	Govt. Serchhip College	9
5	Govt. Saiha College	9
6	Govt. Champhai College	9
7	Govt. Aizawl North College	10.5
8	Govt. Aizawl West College	10.5
9	Govt. Hnahthial College	9
10	Govt. J. Buana College	9
11	Govt. Zirtiri Residential Science College	10.5
12	Govt.Kamalanagar College	9
13	Govt. J. Thankima College	10.5
14	Govt. Johnson College	10.5
15	Govt. Khawzawl College	9
16	Govt. Kolasib College	10.5
17	Govt. Mamit College	9
18	Govt. Zawlnuam College	9
19	Govt. Saitual College	9
20	Govt. T. Romana College	10.5
21	Lunglei Govt. College	10.5
22	Mizoram Law College	9
23	Institute of Advanced Study in Education	10
	Total	226

Table 4.1

List of Colleges Proposed under Component 12 “Vocationalisation of Higher Education”

	Name of Colleges	Amount Recommended(in lakhs)
1	Govt. Aizawl College	62.5
2	Govt. Hrangbana College	62.5
3	Govt. Lawngtlai College	62.5
4	Govt. Serchhip College	62.5
5	Govt. Saiha College	62.5
6	Govt. Champhai College	62.5
7	Govt. Aizawl North College	62.5
8	Govt. Aizawl West College	62.5
9	Govt. Hnahthial College	62.5
10	Govt. J. Buana College	62.5
11	Govt. Zirtiri Residential Science College	62.5
12	Govt.Kamalanagar College	62.5
13	Govt. J. Thankima College	62.5
14	Govt. Johnson College	62.5
15	Govt. Khawzawl College	62.5
16	Govt. Kolasib College	62.5
17	Govt. Mamit College	62.5
18	Govt. Zawlnuam College	62.5
19	Govt. Saitual College	62.5
20	Govt. T. Romana College	62.5
21	Lunglei Govt. College	62.5
22	Mizoram Law College	62.5
23	Institute of Advanced Study in Education	62.5
	Total	1437.5

Table 4.2

List of Colleges Proposed under Component 7
“Infrastructure Grant to Colleges”

Sl.No	Name of the College	Year of Establishment	University to which the College is affiliated	Status (2(F)/ Non 2(F))	Category (12(B)/ Non 12(B))	Amount Recommended (in crores)
1	Govt. Aizawl College	1975	MZU	2f	12B	2
2	Govt. T. Romana College	1992	MZU	2f	12B	2
3	Govt. Aizawl North College	1988	MZU	2f	12B	2
4	Govt. Aizawl West College	1990	MZU	2f	12B	2
5	Govt. Johnson College	1993	MZU	2f	12B	2
6	Govt. ZRSC College	1980	MZU	2f	12B	2
7	Govt. J. Thankima College	1992	MZU	2f	12B	2
8	Govt. IASE College	1975	MZU	2f	12B	2
9	Govt. Mamit College	1983	MZU	2f	12B	2
10	Govt. Zawlnuam College	1986	MZU	2f	12B	2
11	Govt. Kolasib College	1978	MZU	2f	12B	2
12	Govt. Champhai College	1971	MZU	2f	12B	2
13	Govt. Khawzawl College	1985	MZU	2f	12B	2
14	Govt. Serchhip College	1973	MZU	2f	12B	2
15	Govt. Lunglei College	1964	MZU	2f	12B	2
16	Govt. Lawngtlai College	1980	MZU	2f	12B	2
17	Govt. Kalamanaagar College	2005	MZU	2f	12B	2
18	Govt. Hnahtial College	1979	MZU	2f	12B	2
19	Govt. J.Buana College	1983	MZU	2f	12B	2
20	Govt. Saiha College	1978	MZU	2f	12B	2
21	Govt. Saitual College	1984	MZU	2f	12B	2
22	Govt. Mizoram Law, College	1983	MZU	2f	12B	2
Total						44

PAB Note of Tripura State Higher Education Plan

1. Status of Prerequisites:

S.No.	Prerequisite	Key Questionnaire	State's response (Yes/No)	Current Status of Work done
1.	State Higher Education Council	Does the State agree to Create the State Higher Education Council according to the suggestion made under RUSA	Yes	The Tripura State Council of Higher Education has been created dated 14 th February, File No.F.2 (19-4.1)-DHE/ UDCA/2013. Government of Tripura, Higher Education Department.
2.	Financial Contribution to Higher Education as a % of GSDP	Does the state agree to scale up to and maintain prescribed levels of funding to higher education as a % of Gross State Domestic Product (GSDP)	Yes	0.57% of GSDP for 2010-11 0.70% of GSDP for 2011- 12 0.67% of GSDP for 2012-13 The future commitment of the State is to increase fund allocation for higher education. Though the State has committed but it has not given any time line. Hence they need to specify the plan of action to achieve the same.
3.	State Perspective Plan	Whether SHEP for 3 or 8 years has been submitted broken down into annual work and budget plans	Yes	SHEP for 3 years under the 12 th Five-Year Plan during 2014-15 / 2015-16/ 2016-17 for 26 Government colleges has been submitted.
4.	Agreement to create separate fund for RUSA	Does the state agree to create separate fund for RUSA	Yes	The bank account for RUSA has been opened in the name of TRIPURA STATE COUNCIL FOR HIGHER EDUCATION bearing Account No. 2148101012684. Canara Bank, Agartala Branch – Tripura.
5.	Filling Faculty Vacancies	Does the state agree to fill up vacant faculty positions	Yes	The State has agreed to fill up the vacant position and also removed the ban on recruitment. But no such timelines has been indicated for filing up these positions.

6.	Accreditation reforms	Does the state commit for all state HEIs to apply for accreditation	Yes	Accreditation to be taken for State HEIs is an ongoing process. Though no such timeline has been indicated.
7.	Other reforms, Affiliation, Governance, Administrative reforms at State and Institutional levels. Examination reforms	Does the state agree to implement all affiliation reforms mentioned under RUSA	Yes	Yes, some colleges are preparing for governance, administrative reforms at State and institutional levels. Academic Reforms:- All the Colleges have undergone to semester system with Credit based Choice system from 2014-15. They are preparing for NAAC accreditation as well. Administrative Reforms:- There is proper academic committee, Admission Committee, Development committee, Discipline committee, Anti Ragging Committee set up in the Colleges. Besides, there are Lower Purchase committee in all the Colleges. There is active Teacher's and Student's Council in the General Degree Colleges.

2. Work to be done by States:

- c) **Preparatory funds** - Proposal for utilisation of the Preparatory Fund (during 2014-15) is furnished below:

Sl.No	Headings	Rs. in lakhs
1.	TA/DA	10
2.	Furniture	50
3.	IT related materials (Computers, fax machine, phone connection, Xerox machines	10
4.	Other equipments	10
5.	Stationeries	10
6.	Vehicles	30
7.	Office Rent/ lease agreement	
8.	Room furnishings	5
	Consultant fees	10
9.	Seminars and leadership training	5

10.	Printing and compilation	7
11.	Advertisement	
12.	Bills (Internet, phone, water, light)	50
	Total	200

d) **State share – Transferring** of the State share along with Central share is in process.

Model Degree College proposal – The State was sanctioned 3 New Model Degree Colleges in its 3 EBD districts @ 12 crores per college and first instalment of the Central Share an amount of Rs. 15.02 Crore was released. The State is in process of transferring the amount in RUSA account.

3. Appraisal of SHEP/DCF submitted:

A. Physical & Financial Details

1) Component (1): Creation of Universities by way of Upgradation of existing Autonomous Colleges

The State has proposed one non- autonomous college for upgradation to university at Agartala, with a total fund claim of Rs. 51.73 crores during 12th Plan and Rs. 20.69 crore for the current financial year 2014-15. State Government of Tripura has already taken initiatives to upgrade it into a State University, which would be Tripura's first State University.

As RUSA funds for creation of universities by way of upgradation of existing autonomous Colleges, but this college proposed is a non- autonomous college hence, **the proposal is not considered for funding in this PAB.**

2) Component(2) : Creation of Universities by conversion of colleges in a cluster

The State has not submitted any Proposal under this component.

3) Component (3) Infrastructure Grants to Universities

The State has not submitted any Proposal under this component.

4) Component (4): New Model Colleges (General)

The State has proposed two districts for New Model Colleges (General) at North Tripura District and Gomati district respectively with a total fund claim of Rs. 24 crores during the 12th Plan and Rs. 12 crore each for the next two financial year 2015-16 & 2016-17.

- a) **North Tripura District** do not meet the requirements of RUSA guidelines for establishment of New MDC. The State has been already sanctioned for establishment of one new MDC in the same district and Rs.5.40 crore has been released as 1st Instalment of Central Share. Hence, the proposal is **not considered for funding in this PAB.**
- b) The second district Gomati is a new EBD district which has been carved out of existing EBD district south Tripura. The originally undivided district of South Tripura has already been sanctioned one model Degree College. Though the newly established Gomati district adheres to the RUSA norms but it is not part of the 374 EBDs originally identified. Further the State Government has proposed for new MDC in the Gomati district for the year 2016-17. Hence the proposal **is not considered for current financial year i.e 2014-15** and it is only for the information of the PAB.
- 5) **Component (5): Upgradation of existing degree colleges to model degree colleges**
The State has proposed for upgradation of 3 existing degree colleges at Khowai, Unakoti, & Sepahijala districts with total fund claim of Rs. 12 crores during the 12th plan and Rs. 4 crore for the current financial year 2014-15. These three districts proposed come under EBD districts. Whereas according to RUSA guidelines upgradation of existing degree colleges to model Degree Colleges can be done only in Non Educationally Backward District. **Hence the proposal is not considered for funding.**
- 6) **Component (6) New Colleges (Professional)**
The State has not submitted any Proposal under this component.
- 7) **Component (7): Infrastructure Grants to Colleges**
The State has submitted proposal for 26 colleges with total fund claim of Rs. 52 crores during the 12th Plan and Rs. 23.40 crore for the current financial year 2014-15.
Out of the total 26 colleges 7 are of an antiquity of 6 years or above and are not accredited. These 7 are left out of the funding proposal. Of the remaining 19 proposed, 2 are accredited, 9 have submitted LOI and 8 are not eligible for NAAC.
Hence 19 colleges are considered for seeking grants and the proposal is justified in terms of antiquity of establishments, enrollments.
The list of 26 colleges is given in **Table-5.0**
- 8) **Component (8) Research, Innovation and quality improvement**
The State has not submitted any Proposal under this component.

9) . **Component (9): Equity Initiatives**

The State has submitted proposal for 26 colleges claiming an amount of Rs.5 Crores for the 12th Plan and Rs. 3 crore for the current financial year 2014-15. The proposal is for creation of Equal opportunity cells, Remedial classes, language labs, etc. and Creation of Equity and Gender sensitization campaigns for 16 govt. colleges. Further, the State also proposes to offer Financial aid and scholarships for socially and economically backward students from all the 26 government colleges in the State.

The proposal is in line with the RUSA guidelines for the component Equity Initiatives. Hence the proposal for the current year 2014-15 for Rs. 3 crore **is recommended for funding.** (Details annexed at **Table 5.1**)

10) **Component (10) Faculty Recruitment Support**

The State has not submitted any Proposal under this component.

11) **Component (11) Faculty Improvement**

The State has not submitted any Proposal under this component.

12) **Component (12): Vocationalisation of Higher Education**

State has proposed for 18 govt. colleges out of which (3 colleges are polytechnic institutes) with total fund claim of Rs. 15 crores for the 12th Plan and 5.40 cr for the current financial year 2104-15.

For the 3 Polytechnic institutes proposed the funding has already been made by sub-mission on polytechnics under the component community development. Hence these three polytechnic institutes are **not recommended for funding.**

The remaining 15 Government Colleges State has not implemented NSQF / NVEQF hence not adhering to RUSA norms. The Proposal is thus not recommended for funding.

13) **Component (13) Leadership Development of Educational Administrators**

This is a Centrally Administered Scheme and hence, the component would not be considered for funding under RUSA.

14) **Component (14) Institutional Restructuring and Reforms**

The component comes partially under the ambit of preparatory grants which have already been sanctioned to the State. Hence the component won't receive any additional funding for this year.

15) **Component (15) Capacity Building and Preparation, Data Collection and Planning**

The component comes partially under the ambit of preparatory grants which have already been sanctioned to the State. Hence the component won't receive any additional funding for this year.

16) Component (16) Management Information System

The State has not submitted any Proposal under this component.

B) Details of components proposed by the State of Tripura

Component No	Name of the component	Cost (Rs. crores)			XII Plan Total
		2014-15	2015-16	2016-17	
1	Creation of University by way of upgradation of existing Autonomous Colleges	20.69	15.52	15.52	51.73
4	New Model Colleges (General)	-	12.0051	12.0051	24.0102
5	Upgradation of Existing colleges into Model College	40.041	40.041	40.041	12.0123
7	Infrastructure Grants to Colleges	23.40	18.20	10.40	52.00
9	Equity Initiatives	3.00	1.00	1.00	5.00
12	Vocationalization of Higher Education	5.40	4.50	5.10	15.00
Total 6 components has been proposed		56.4941	55.2292	48.0292	159.7525

4. Total Funds recommended by TSG:

Sl.No	Component	Physical 2014-15 (Number)	Financial XII Plan (In Rs.crores)	Financial 2014-15 (In Rs. crores)	Conditions for release
1	Creation of Universities by way of Upgradation of existing Autonomous Colleges	-	-	-	-
2	Creation of Universities by conversion of colleges in a cluster	-	-	-	-
3	Infrastructure Grants to Universities	-	-	-	-
4	New Model Colleges (General)	-	-	-	-
5	Upgradation of existing Degree Colleges to Model Degree colleges	-	-	-	-
6	New Colleges (Professional)	-	-	-	-
7	Infrastructure Grants to Colleges	19	38	17.10	
8	Research, Innovation and quality improvement	-	-	-	-
9	Equity Initiatives	26	5	3	-

10	Faculty Recruitment Support	-	-	-	-
11	Faculty Improvement	-	-	-	-
12	Vocationalisation of Higher Education				
13	Leadership Development of Educational Administrators	-	-	-	-
14	Institutional Restructuring and reforms	-	-	-	-
15	Capacity Building and preparation, Data Collection and Planning	-	-	-	-
16	Management Information System	-	-	-	-
17	Support to Polytechnics	-	-	-	-
TOTAL		45	43	20.1	

C) Central and State Share for prioritized components

Sl.No	Component No.	Component Name	Physical Units (No.)	Amount Recommended for 2014-15 (In Rs. Crores)	Central Share (In Rs. Crores)	State Share (In Rs. Crores)
1	7	Infrastructure Grants to Colleges	19	17.10	15.39	1.71
2	9	Equity Initiatives	26	3	2.7	0.3
Total				20.1	18.09	2.01

4. Approval sought from PAB:

I would like to request Project Approval Board (PAB) to consider for releasing total amount of Rs. 20.1 crore for the year 2014-15 for 2 components which would cover 19 government colleges for (Infrastructure grants to colleges Rs. 17.10 crore & Equity Initiatives Rs.3 crore for 26 Govt colleges).

Table 5.0

Component 7: Infrastructure Grant to Colleges

(Rs. lakhs)

Name of the College in descending order of importance	2014-15	2015-16	2016-17	Total
1 GDC, Khumulwng	90	70	40	200
2 GDC, Gandacherra	90	70	40	200
3 AMBS Mahavidyalaya, Amarpur	90	70	40	200
4 MMD College, Sabroom	90	70	40	200
5 Ambedkar College, Fatikray	90	70	40	200
6 GDC, Kanchanpur	90	70	40	200
7 GDC, LT Valley	90	70	40	200
8 GDC, Santirbazar	90	70	40	200
9 GDC, Teliamura	90	70	40	200
10 DDM College, Khowai	90	70	40	200
11 RNT Mahavidyalaya, Bishalgarh	90	70	40	200
12 SV Mahavidyalaya, Mohanpur	90	70	40	200
13 N.S Mahavidyalaya, Udaipur	90	70	40	200
14 GDC, Kamalpur	90	70	40	200
15 K N Mahavidyalaya, Sonamura	90	70	40	200
16 R.K. Mahavidyalaya, Kailasahar	90	70	40	200
17 Ramthakur College	90	70	40	200
18 ICV College, Belonia	90	70	40	200
19 GDC, Dharmanagar	90	70	40	200
20 Women's College, Agartala	90	70	40	200
21 SDM Govt. Music College	90	70	40	200
22 Art & Craft College	90	70	40	200
23 Law College	90	70	40	200
24 MBB College	90	70	40	200
-25 BBM College	90	70	40	200
26 IASE, Kunjaban	90	70	40	200
	2340	1820	1040	5200

Table 5.1**Component 9: Equity Initiatives Grant to Colleges**

(Rs. Lakhs)

Name of the College in descending order of importance	2014-15	2015-16	2016-17	Total
1 GDC, Khumulwng	12	4	4	20
2 AMBS Mahavidyalaya, Amarpur	12	4	4	20
3 Ambedkar College, Fatikray	12	4	4	20
4 DDM College, Khowai	12	4	4	20
5 N.S Mahavidyalaya, Udaipur	12	4	4	20
6 GDC, Kamalpur	12	4	4	20
7 K N Mahavidyalaya, Sonamura	12	4	4	20
8 R.K. Mahavidyalaya, Kailasahar	12	4	4	20
9 Ramthakur College	12	4	4	20
10 ICV College, Belonia	12	4	4	20
11 GDC, Dharmanagar	12	4	4	20
12 Women's College, Agartala	12	4	4	20
13 MBB College	12	4	4	20
14 BBM College	12	4	4	20
15 RTN Mahavidyalaya, Bishalgarh	12	4	4	20
16 SV Mahavidyalaya, Mohanpur	12	4	4	20
17.R. N Thakur Mahavidyalaya, Bishalgarh	10	4	4	18
18. S.V.Mahavidyalaya, Mohanpur,	10	4	4	18
19. GDC, Santirbazar, South Tripura	10	3	3	16
20. GDC, Teliamura, Khowai District	10	3	3	16
21. GDC, Kanchanpur, North Tripura	10	3	3	16
22. GDC, Longtraivalley, Dhalai District	10	3	3	16
23. Govt. College of Art & Craft, Agartala	12	4	4	20
24. S.D.M, Govt. Music College, Agartala	12	4	4	20
25. Tripura Govt. Law College, Agartala	12	4	4	20
26. I.A.S.E. Agartala	12	4	4	20
Total	300	100	100	500

Annexure - VI

Appraisal Note on West Bengal State Higher Education Plan

1. Status of Prerequisites:

Sl. No.	Prerequisite	Key Questionnaire	State's Response (Yes/No)	Current Status as indicated by the State
1.	State Higher Education Council	Does the State agree to Create the State Higher Education Council according to the suggestion made under RUSA	Yes	<p>The West Bengal State Council of Higher Education was constituted through the enactment of The West Bengal State Council of Higher Education Act, 1994.</p> <p>At present, the post of Chairperson of the SHEC is vacant and the Vice-Chairman is Prof Malayendu Saha, an academic. The composition of the SHEC is, thus, in accordance with the RUSA norms.</p>
2.	Financial Contribution to Higher Education as a % of GSDP	Does the state agree to scale up and maintain prescribed levels of funding to higher education as a % of State Gross Domestic Product (GSD)	Yes	<p>The State's expenditure on higher education as a %age of GSDP in 2012-13 is 0.34% of the GSDP. The State has committed to raise its expenditure on higher education.</p>
3.	State Perspective Plan	Does the state agree to create and submit the State Higher Education Plan according to	Yes	<p>The State shared draft versions of SHEP in June & July, 2014. After 4 rounds of personal interaction with the officials of the State Govt., the SHEP was</p>

		prescribed guidelines		formally submitted in September 2014. Final version to be submitted.
4.	Agreement to create separate fund for RUSA	Does the state agree to create separate fund for RUSA	Yes	<p>The State has indicated that steps are being taken and the RUSA bank account opened.</p> <p>The State's RUSA bank Savings Account details are as follows:</p> <p>Name of Account Holder: West Bengal State Council of Higher Education A/C No: 0323101032573 Bank Name & other details: Canara Bank, Sarat Bose Road Branch. 203 Sarat Bose Road, Kolkata 700 029 IFS Code: CNRB0000323 MICR Code: 700015019</p>
5.	Filling Faculty Vacancies	Does the state agree to fill up vacant faculty positions	Yes	The State had informed that recruitment in progress through West Bengal Public Service Commission and West Bengal College Service Commission. However, the details have not been furnished.
6.	Accreditation Reforms	Does the state commit for all state HEIs to apply for accreditation	Yes	Additional information awaited from the State.
7.	Affiliation and Examination Reforms	Does the state agree to implement all affiliation reforms	Yes	Additional information awaited from the State.

		mentioned under RUSA		
8.	Governance and Administrative Reforms at State Level	Does the state agree to implement all the sectoral governance reforms mentioned under RUSA	Yes	Training calendars have been processed for the training of all administrative personnel of colleges and universities by phases under the supervision of the WBSHEC.
9.	Institutional governance (administrative) reforms	Does the state agree to implement all the Institutional governance/ Administrative reforms mentioned under RUSA	Yes	The necessary amendments have been initiated in the relevant Acts & Rules by the State and WBSHEC.

I. Status of utilisation of Preparatory Grants released to the States:

- a) Preparatory funds: The State has been released Rs.2,62,60,000 as Preparatory and MMER Grants. The State has informed that no expenditure has been incurred so far.
- b) State Share: The State has informed that it has placed its share of Rs 1.40 crore.

II. Appraisal of SHEP/DCF submitted

a) *Physical and financial details*

1. Up-gradation of Existing Autonomous College to Universities

The State has proposed to upgrade two existing Government aided (private) autonomous colleges-- Ramkrishna Mission Vidyamandir and St Xavier's College— at a total cost of Rs 30 crore (at Rs 15 crore each) for the period 2014-17. As the proposal is for two private colleges, the commitment of the colleges to adhere to the State Government's rules & regulation, especially with regard to management, quota in admission, recruitment etc needs to be furnished and still awaited from the State. Though RUSA covers both government and government-aided institutions, much more clarity is still required in this connection. Hence, at present the proposal is not fully in accordance with RUSA norms and not recommended for funding.

2. Creation of University by Converting Colleges in a Cluster

The State has proposed to convert two clusters of colleges into two universities: (i) Raiganj College lead Cluster University; and (ii) David Hare Training College lead Cluster

University. The State has proposed a total requirement of Rs 40 crore for the proposed 2 universities for 2014-17, against the maximum ceiling of Rs 110 crore (at Rs 55 crore for one university). Both the proposals adhere to certain crucial conditions of RUSA Guidelines, but also do not comply with certain other key criteria like total land availability, distance between lead and other clustering colleges etc. Hence, the proposal is not recommended for funding.

3. Infrastructure Grants to Universities

The State has submitted proposals for the following seven universities: (1) University of Gour Banga, (2) Sidho-Kanho-Birsha University, (3) West Bengal State University, (4) Diamond Harbour Women's University, (5) Kazi Nazrul University, (6) Cooch Behar Panchanan Barma University, and (7) Bankura University. The State has proposed a requirement of Rs 15 crore for each of the 7 universities for a total of Rs 105 crore for 2014-17. The proposed 7 universities are all non-12B and thus satisfy the main criterion for recommendation for funding. However, as they are all newly established (1 university each in 2014, 2013 & 2010 and 2 universities in 2012 and 2008), none of the universities have accreditation. Two universities were established in 2008 itself and have completed six years since the date of commencement of the respective university Acts. Hence, they have become eligible for accreditation, but have not obtained one. Hence, they are not considered for funding. **Five other universities are recommended for funding at Rs 10 crore per university for a total of Rs 50 crore, for 2014-15. (Details annexed at Table 6.0)**

4. New Model Colleges

The State has proposed for 10 Model Degree Colleges at Rs 10 crore each in 8 Educationally Backward Districts of: Dakshin Dinajpur, Jalpaiguri (2 colleges), Cooch Behar, Nadia, Birbhum, Howrah, Hoogli, 24 North Parganas (2 colleges). The State Govt. has indicated issues in getting the required land in this year. Hence, the proposal is not recommended for funding.

5. Up-gradation of Existing Degree Colleges to Model Degree Colleges

The State has no proposal under the component for 2014-15.

6. New Colleges (Professional)

The State has proposed to set up a new professional (engineering) college in Cooch Behar district, an EBD, which does not have even one professional college. As against the proposed total cost of Rs 30 crore for 2014-17, the recommendation is for the maximum ceiling of Rs 26 crore as far as the Central grants are concerned. **For the year 2014-15, an amount of Rs 11.50 crore is recommended for the proposed engineering college at Cooch Behar.**

7. Infrastructure Grants to Colleges

The State has proposed requirement for 497 colleges, including 35 non-12B colleges. However, the names & details of the colleges have not been furnished by the State. Hence, the proposal is not recommended for funding at this stage.

8. Research, Innovation and Quality Improvement

The State has submitted three proposals. The proposals are brief and require additional details for further consideration. Hence, the proposal is not recommended for funding at this stage.

9. Equity Initiatives

The State government has proposed to set up girls' toilet at Rs 10 lakh in 30 co-educational colleges of the backward districts having more SC & ST students. However, the names & details of the colleges have not been furnished by the State. Hence, the proposal is not recommended for funding at this stage.

10. Faculty Recruitment Support

The State has no proposal under the component for 2014-15.

11. Faculty Improvement

The State has no proposal under the component for 2014-15.

12. Vocationalisation of Higher Education

The proposal sent by the State needs more clarity and, hence, could not be considered for funding.

13. Leadership Development of Educational Administrators

This component is centrally administered and hence not recommended for funding.

14. Institutional Restructuring and Reforms

Comes partially under the ambit of Preparatory Grants released (Rs 2,62,60,000).

15. Capacity Building and Preparation, Data Collection and Planning

Comes partially under the ambit of Preparatory Grants released (Rs 2,62,60,000).

16. Management Information System

Comes partially under the ambit of Preparatory Grants released (Rs 2,62,60,000).

17. Support to Polytechnics

Only proposals cleared under the Sub-mission of Polytechnics covered. No new proposals are not accepted under this component.

B. Gaps, deficiencies in SHEP – data, incompleteness, critical gaps not identified/ addressed:
The State needs to submit the revised SHEP in DCF format as prescribed, which shall be complete in all respects. All the supporting documents furnished so far separately need to be compiled into one set of SHEP consisting of the 4 DCFs.

C. General comments on components proposed/not proposed, prioritization:

The State has proposed for funding under nine components, out of which proposals under two components (Infrastructure Grants to Universities and New College - Professional) are recommended for funding. Certain components like MIS, Leadership development are Centrally administered and hence no funding is recommended. For components Capacity Building and Institutional Restructuring, the funding has been already given in the form of Preparatory Grants.

D. Total Funds Requested by the State

S. No.	Component	12th Plan		2014-15	
		Physical (number)	Financial (in crores)	Physical (number)	Financial (in crores)
1	Creation of Universities by way of up gradation of existing Autonomous	2	30.000	2	10.000
2	Creation of Universities by conversion of colleges in a cluster	2	40.000	2	10.000
3	Infrastructure Grants to Universities	7	105.000	7 (5)*	21.000 (62.50)*
4	New Model Colleges (General)	10	100.000	10	20.000
5	Up gradation of existing Degree Colleges to Model Degree Colleges	No proposal			
6	New Colleges (Professional)	1	30.000	1	10.00 (11.50)*
7	Infrastructure Grants to Colleges	497	994.000	497	248.500
8	Research, Innovation and Quality Improvement	4	60.700	4	15.175
9	Equity Initiatives	30	3.000	30	0.600
10	Faculty Recruitment Support	No proposal			
11	Faculty Improvement	No proposal			
12	Vocationalisation of Higher Education	19	38.000	19	9.500
13	Leadership Development of Educational Administrators	Centrally Administered			

14	Institutional Restructuring and Reforms	Preparatory Grants released			
15	Capacity Building and Preparation, Data Collection and Planning	Preparatory Grants released			
16	Management Information System	Centrally Administered			
17	Support to Polytechnics	No proposal			
18	Management Monitoring Evaluation and Research (MMER)	MMER released as 1% of total Central releases			
TOTAL		NA	1400.700	NA	344.775

* Revised proposal of the State Govt.

E. Total Funds Recommended by the TSG (for 2014-15)

Sl. No.	Component	Physical Units (2014-15) (number)	Funds Proposed (2014-17) (in crores)	Funds Recommended (2014-15) (in crores)			Conditions for Release
				Total	Central Share (2014-15) (in crores)	State Share (2014-15) (in crores)	
1	Creation of Universities by way of up gradation of existing Autonomous Colleges	2	30.00	0.00	0.00	0.00	The proposals did not conform fully with RUSA Guidelines.
2	Creation of Universities by conversion of colleges in a cluster	2	40.00	0.00	0.00	0.00	The proposals did not conform fully with RUSA Guidelines.
3	Infrastructure Grants to Universities	5	105.00	50.00	32.50	17.50	Detailed Project Report needs to be submitted.
4	New Model Colleges	10	100.00	0.00	0.00	0.00	Proposal for 8 MDCs conform to norm, but the State has indicated that land availability may be an issue. Hence,

							not considered for now.
5	Upgradation of Existing Degree Colleges to Model Degree Colleges	0	0.00	0.00	0.00	0.00	No proposal
6	New Colleges (Professional)	1	30.00	11.50	7.475	4.025	Detailed Project Report needs to be submitted.
7	Infrastructure Grants to Colleges	497	994.00	0.00	0.00	0.00	Additional details required from the State.
8	Research, Innovation and Quality Improvement	4	60.70	0.00	0.00	0.00	Additional details required from the State.
9	Equity Initiatives	30	3.00	0.00	0.00	0.00	Additional details required from the State.
10	Faculty Recruitment Support	0	0.00	0.00	0.00	0.00	No proposal
11	Faculty Improvement	0	0.00	0.00	0.00	0.00	No proposal
12	Vocationalisation of Higher Education	19	38.00	0.00	0.00	0.00	Proposal is very brief and requires more information. Hence, could not be considered.
13	Leadership Development of Educational Administrators	0	0.00	0.00	0.00	0.00	No proposal
14	Institutional Restructuring and Reforms	0	0.00	0.00	0.00	0.00	No proposal
15	Capacity Building and Preparation, Data Collection and Planning	0	0.00	0.00	0.00	0.00	No proposal
16	Management Information System	0	0.00	0.00	0.00	0.00	No proposal

17	Support to Polytechnics	0	0.00	0.00	0.00	0.00	No proposal
18	MMER	0	0.00	0.00	0.00	0.00	No proposal
Total			1400.70	61.50	39.975	21.525	

Resource Envelope for 2014-15			
(Amount in Rs. Crore)			
Sharing Ratio (Centre: State)	Centre	State	Total
65:35	48.165	25.935	74.10

3). Approval Sought from the PAB

A. Proposals recommended

1. Component 3 - Infrastructure Grants to Universities

The State has submitted proposals for the following seven universities: (1) University of Gour Banga, (2) Sidho-Kanho-Birsha University, (3) West Bengal State University, (4) Diamond Harbour Women's University, (5) Kazi Nazrul University, (6) Cooch Behar Panchanan Barma University, and (7) Bankura University. The State has proposed a requirement of Rs 15 crore for each of the 7 universities for a total of Rs 105 crore for 2014-17. The proposed 7 universities are all non-12B and thus satisfy the main criterion for recommendation for funding. However, as they are all newly established (1 university each in 2014, 2013 & 2010 and 2 universities in 2012 and 2008), none of the universities have accreditation. Two universities were established in 2008 itself and have completed six years since the date of commencement of the respective university Acts. Hence, they have become eligible for accreditation, but have not obtained one. Hence, they are not considered for funding. **Five other universities (Listed at Annexure) are recommended for funding at Rs 10 crore per university for a total of Rs 50 crore, for 2014-15.**

2. **Component 6 - New Colleges (Professional):** The State has proposed to set up a new professional (engineering) college in Cooch Behar district, an EBD, which does not have even one professional college. As against the proposed total cost of Rs 30 crore for 2014-17, the recommendation is for the maximum ceiling of Rs 26 crore as far as the Central grants are concerned. **For the year 2014-15, an amount of Rs 11.50 crore is recommended for the proposed engineering college at Cooch Behar.**

Component-wise Recommendation with Central and State Share

Sl. No.	Comp. No.	Component Name	Physical Units Recommended	Amount Recommended for 2014-15 (In Crores)				Conditions for Release
				Per Unit	Total	Central Share	State Share	
1	3	Infrastructure Grants to Universities	5	10.00	50.00	32.50	17.50	DPR for proposals needs to be submitted by the State.
2	6	New Colleges (Professional)	1	11.50	11.50	7.475	4.025	DPR for proposal needs to be submitted by the State.
Total					61.50	39.975	21.525	

Table 6.0

List of Universities Proposed under Component 3 - "Infrastructure Grants to Universities"

S. No	Name of University	Year of Establishment	12B/ Non 12B Status
1	Sidho-Kanho-Birsha University, Purulia Dist.	2010	Non 12 B
2	Kazi Nazrul University, Burdwan Dist.	2012	Non 12 B
3	Cooch Behar Panchanan Barma University, Cooch Behar Dist.	2012	Non 12 B
4	Diamond Harbour Women's University, South 24 Parganas Dist.	2013	Non 12 B
5	Bankura University	2014	Non 12 B

Proposals Approved under Erstwhile Model Degree College Scheme			
State	Approved College and Educationally Backward District	Amount Released as 1st Installment as per old scheme (out of Rs. 8.00 crore)	Balance to be released as per norms of old scheme
Arunachal Pradesh	1) Government Model Degree College, Seppa, Dist. East Kameng	₹ 2.00	₹ 2.00
	2) Government Model Degree College, Daporijo Dist. Upper Subansiri	₹ 2.00	₹ 2.00
	3) Government Model Degree College, Geku, Dist. Upper Siang	₹ 2.00	₹ 2.00
	4) Government Model Degree College, Palin, Dist. Kurung Kumey	₹ 2.00	₹ 2.00
	5) Government Model Degree College, Basar, Dist. West Siang	₹ 2.00	₹ 2.00
	6) Government Model Degree College, Jemi Notko Roing, Dist. Lower Dibang Valley	₹ 2.00	₹ 2.00
	State Total	₹ 12.00	₹ 12.00
Jammu & Kashmir	1) Model Degree College for Women, Dist. Kupwara	₹ 2.00	₹ 2.00
	2) Model Degree College Dist. Tangmarg	₹ 2.00	₹ 2.00
	3) Model Degree College Dist Charar-i-sharief	₹ 2.00	₹ 2.00
	4) Model Degree College Dist. Zanaskar	₹ 2.00	₹ 2.00
	5) Model Degree College Dist Nobra	₹ 2.00	₹ 2.00
	6) Model Degree College Dist. Surankote	₹ 2.00	₹ 2.00
	7) Model Degree College Dist. Kalakote	₹ 2.00	₹ 2.00
	8) Model Degree College Dist. Mahanpur	₹ 2.00	₹ 2.00
	State Total	₹ 16.00	₹ 16.00
Total		₹ 28.00	₹ 28.00

